**ANNEX E**

**ETHICS ASSESSMENT TEMPLATE AND INFORMATION**

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| **Questions** | **Response** |
| **1. HUMAN EMBRYOS/FOETUSES** |  |
| 1. **Does your research involve Human Embryonic Stem Cells (hESCs)?** | **Yes / No (select one)** |
| **If Yes:** |  |
| - Will they be directly derived from embryos within this project? |  |
| - Are they previously established cells lines? And what are the origin and line of cells. |  |
| 1. **Does your research involve the use of human embryos?** | **Yes / No (select one)** |
| 1. **Does your research involve the use of human foetal tissues / cells?** | **Yes / No (select one)** |

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| **2. HUMANS** |  |
| 1. **Does your research involve human participants?** | **Yes / No (select one)** |
| **If YES:** |  |
| - Are they volunteers for social or human sciences research?  Please provide details on recruitment and informed consent procedures. |  |
| - Are they persons unable to give informed consent?  Please provide details on the procedures used to ensure that there is no coercion on participants. |  |
| - Are they vulnerable individuals or groups? |  |
| - Are they children/minors? |  |
| - Are they patients? |  |
| - Are they healthy volunteers for medical studies? |  |
| 1. **Does your research involve physical interventions on the study participants?** | **Yes / No (select one)** |
| - Does it involve invasive techniques?  Please provide a risk assessment. |  |
| - Does it involve collection of biological samples?  Please provide details on the type of samples to be collected. |  |

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| **3. HUMAN CELLS / TISSUES** |  |
| 1. **Does your research involve human cells or tissues? (*Other than from 'Human Embryos/Foetuses' i.e. section 1)*** | **Yes / No (select one)** |
| **If YES:** |  |
| - Are they available commercially?  Please provide details of cell type and provider |  |
| - Are they obtained within this project?  Please provide details of cell type. |  |
| - Are they obtained within another project?  Please provide details of cell type. |  |
| - Are they deposited in a biobank?  Please provide details of cell type. |  |

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| **4. PROTECTION OF PERSONAL DATA** |  |
| 1. **Does your research involve personal data collection and/or processing?** | **Yes / No (select one)** |
| **If YES:** |  |
| - Does it involve the collection and/or processing of sensitive personal data (e.g. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)? |  |
| - Does it involve processing of genetic information? |  |
| - Does it involve tracking or observation of participants? |  |

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| **5. ANIMALS** |  |
| 1. **Does your research involve animals?** | **Yes / No (select one)** |
| **If YES:** |  |
| - Are they vertebrates?  Number of animals to be used, nature of the experiments, procedures, anticipated impact and how this will be minimised.  Details on species and rationale for their use. Details on procedures to ensure animal welfare. |  |
| - Are they non-human primates?  Number of animals to be used, nature of the experiments, procedures, anticipated impact and how this will be minimised.  Details on species and rationale for their use. Details on procedures to ensure animal welfare. |  |
| - Are they genetically modified?  Number of animals to be used, nature of the experiments, procedures, anticipated impact and how this will be minimised.  Details on species and rationale for their use. Details on procedures to ensure animal welfare. |  |
| - Are they cloned farm animals?  Number of animals to be used, nature of the experiments, procedures, anticipated impact and how this will be minimised.  Details on species and rationale for their use. Details on procedures to ensure animal welfare. |  |
| - Are they endangered species?  Number of animals to be used, nature of the experiments, procedures, anticipated impact and how this will be minimised.  Details on species and rationale for their use. Details on procedures to ensure animal welfare. |  |

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| **6. THIRD COUNTRIES** |  |
| 1. **In case third-party countries are involved, do the research related activities undertaken in these countries raise potential ethics issues?** | **Yes / No (select one)** |
| **If YES:** |  |
| - Specify the countries involved (maximum number of characters allowed: 1000) |  |
| 1. **Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?**   Details on type of local resources to be used and their intended use. | **Yes / No (select one)** |
| 1. **Do you plan to import any material, including personal data?**   - Specify material and countries involved (maximum number of characters allowed: 1000) | **Yes / No (select one)** |
| 1. **Do you plan to export any material, including personal data?**   - Specify material and countries involved (maximum number of characters allowed: 1000) | **Yes / No (select one)** |
| 1. **Could the situation in the country you are exporting / importing from put the individuals taking part in the research at risk?** | **Yes / No / NA (select one)** |

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| **7. ENVIRONMENT & HEALTH AND SAFETY** |  |
| 1. **Does your research involve the use of elements that may cause harm to the environment, to animals or plants?** | **Yes / No (select one)** |
| **If YES:** |  |
| * Please share details on safety measures to be implemented. |  |
| 1. **Does your research deal with endangered fauna and/or flora and/or protected areas?** | **Yes / No (select one)** |
| **IF YES:** | **Yes / No (select one)** |
| * Please share details on safety measures to be implemented. | **Yes / No (select one)** |
| 1. **Does your research involve the use of elements that may cause harm to humans, including research staff?** | **Yes / No / NA (select one)** |
| * Please share details on safety measures to be implemented. |  |

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| **8. DUAL USE** |  |
| 1. **Does your research have the potential for military applications** | **Yes / No (select one)** |
| **If YES:** |  |
| * Please share details. |  |

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| **9. MISUSE** |  |
| 1. **Does your research have the potential for malevolent/criminal/terrorist abuse?** | **Yes / No (select one)** |
| **If YES:** |  |
| * Please share details. |  |

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| **10. OTHER ETHICS ISSUES** |  |
| 1. **Are there any other ethics issues that should be taken into consideration?**   Please specify: (maximum number of characters allowed: 1000) | **Yes / No (select one)** |

I confirm that I have taken into account all ethics issues described above and that, if any ethics issues apply, I will complete the ethics self-assessment and attach the required documents.